

UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 98,627)

PATENT

In the Application of:

Ronald B. Lee

Serial No.: 09/259,757

Filed: February 27, 1999

For: **System and Method for Insuring
Dynamic Host Configuration Protocol
Operation By A Host Connected To A
Data Network**



Art Unit: 2731

Examiner: To be assigned

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INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any

other representation and, in particular, no representation is indented as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

U.S. Patent Documents

<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Filing Date If appropriate</u>
5347304	9/13/94	Moura, et., al.	348	7/28/93
5488412	1/30/96	Majeti, et., al.	348	3/31/94
5583931	12/10/96	Schneider, et., al.	379	7/14/95
5586121	12/17/96	Moura, et., al.	370	4/21/95
5600717	2/4/97	Schneider, et., al.	379	6/14/96
5606606	2/25/97	Schneider, et., al.	379	6/14/96
5608446	3/4/97	Carr et al.	348	5/9/95
5623542	4/22/97	Schneider, et., al.	379	6/14/96
5636211	6/3/97	Newlin, et., al.	370	8/15/95
5675732	10/7/97	Majeti, et., al.	395	5/8/95
5608446	3/4/97	Carr, et., al.	348	5/9/95

Other Documents

- 1) "Cable Modem to Customer Premise Equipment Interface Specification (Interim) SP-CMCI-I02-980317", Multimedia Cable Network Systems (MCNS) Holdings, L.P., 1998, Pgs. ii to 40.
- 2) "Operations Support System Interface Specification Baseline Privacy Interface MIB (Interim Specification) SP-OSSI-BPI-I01-980331", MCNS Holdings, L.P., 1998, Pgs. ii to 33.
- 3) "Cable Modem Termination System-Network Side Interface Specification (Interim Specification) SP-CMTS-NSII01-960702", MCNS Holdings, L.P., 1996, Pgs. ii to 13.
- 4) "Removable Security Module Interface Specification (Interim Specification) SP-RSMI-I01-980204", MCNS Holdings, L.P., 1997, Pgs. ii to 48.
- 5) "Baseline Privacy Interface Specification (Interim) SP-BPI-I01-970922", MCNS Holdings, L.P., 1997, Pgs. ii to 66.

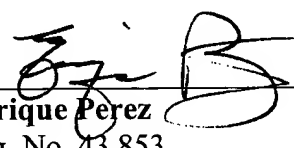
- 6) "Operations Support System Interface Specification (Interim) SP-OSSII01-970403", MCNS Holdings, L.P., 1997, Pgs. 1 to 30.
- 7) "Radio Frequency Interface Specification (Interim Specification) SP-RFI-I02-971008", MCNS Holdings, L.P., 1997, Pgs. ii to 186.
- 8) "Cable Modem Telephony Return Interface Specification (Interim) SP-CMTRI-I01-970804", MCNS Holdings, L.P., 1997, Pgs. ii to 74.
- 9) "Security System Specification (Interim Specification) SP-SSI-I01-970506", MCNS Holdings, L.P., 1997, Pgs. ii to 103.
- 10) "Internet Engineering Task Force", Request for Comments 2131, Dynamic Host Configuration Protocol (DHCP), March 1997, Pgs. 1 to 42.
- 11) S. Adiraju, J. Fijolek, IPCDN Telephony Return MIB, Internet Engineering Task Force, "<draft-ietf-ipcdn-tri-mib-00.1.txt>," March 23, 1998, Pgs. 1 to 26.

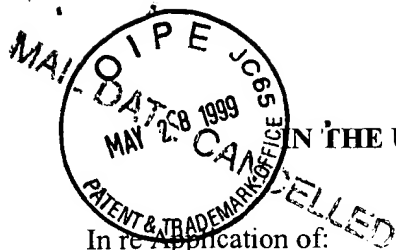
Respectfully submitted,

McDonnell, Boehnen, Hulbert & Berghoff

Date: May 27, 1999

by:


Enrique Perez
Reg. No. 43,853



GAU 2731

PATENT #4
8/10/99

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TRANSMITTAL LETTER

1. We are transmitting herewith the attached papers for the above identified new patent application:

- ☐ Patent Specification (pages, including cover sheet, claims, and abstract)
- ☐ Drawings (sheets)
- ☒ Return Postcard
- ☒ Other: Information Disclosure Statement (IDS) PTO-1449 Form, Copies of IDS Citations for 09/257,757, No Fee Required

2. ☐ A check in the amount of \$ is enclosed for the Filing Fee.

☐ Please charge the total filing fee of \$ to our Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

3. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "First Class Mail" in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on this 27th day of May, 1999.

By: Enrique Perez
Registration No. 43,853

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

The undersigned hereby certifies that the foregoing **INFORMATION DISCLOSURE STATEMENT** is being deposited as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this 27th day of **May, 1999**.

By: 